

In re Application of:

Yehia El-Ibiary

Serial No.:

10/675,723

Filed:

September 30, 2003

For:

SYSTEM AND METHOD FOR **IDENTIFYING OPERATIONAL** 

PARAMETERS OF A MOTOR

§ 8888888

Group Art Unit:

2837

Examiner:

Ip, Shik Luen Paul

Atty. Docket: REEL:0044/YOD/EUB

03RE019

Mail Stop Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF TRANSMISSION OR MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office in accordance with 37 C.F.R. 1.6(d) or is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

August 11, 2006

Stephanie Shamga

Dear Sir:

#### PETITION UNDER 37 C.F.R. § 1.181(a) TO WITHDRAW HOLDING OF ABANDONMENT

This Petition is being filed in response to the Notice of Abandonment mailed on June 14, 2006, a copy of which is enclosed herewith as Exhibit 1. The Notice states that the abovereferenced application has been abandoned for failure to timely pay the required issue and publication fees within the statutory period of three months from the mailing of the Notice of Allowance on December 20, 2005. A copy of this Notice of Allowance is enclosed herewith as Exhibit 2. Applicant respectfully, but vigorously, traverses this holding of abandonment, as this holding directly resulted from a clerical error in the Deposit Account Division of the United States Patent and Trademark Office. In view of the following remarks, Applicant respectfully requests withdrawal of the holding of abandonment and that the present application be allowed to proceed to issue.

Moreover, as discussed in greater detail below, the Patent Office received and deposited a check to replenish the Assignee's deposit account, but failed to timely credit the proper deposit account. In fact, the funds were not credited to the Assignee's deposit account until the error was noticed by the Assignee and pointed out to the Deposit Account Division approximately eight days after the check had *cleared* the Assignee's financial institution. Upon notification of their error, the Deposit Account Division promptly corrected the error and credited the proper deposit account. This delay by the Office in crediting the appropriate deposit account, however, resulted in the present holding of abandonment in the above-identified application. Accordingly, Applicant respectfully requests withdrawal of the Notice of Abandonment and issuance of the above-referenced patent application.

Particularly, on February 15, 2006, the Assignee of the present application prepared and mailed a check in the amount of \$37,780.00 to the Patent Office in accordance with their standard operating procedures to replenish their deposit account. Redacted copies of screenshots generated by the Assignee's accounting software are enclosed herewith as Exhibit 3, which indicate the information printed on the check and stub mailed to the Patent Office on February 15, 2006. This information evidences the date and amount of the replenishment payment, and the deposit account to which the payment was to be credited, as emphasized in Exhibit 3. This check was accepted and deposited by the Patent Office, and cleared the Assignee's financial institution on February 21, 2006.

On February 22, 2006, Applicant filed a Part B – Fee(s) Transmittal of Form PTOL-85 ("Fee Transmittal") authorizing the issue and publication fees to be charged to the Assignee's deposit account, i.e., Deposit Account No. 01-0857. A copy of this Fee Transmittal is enclosed herewith as Exhibit 4. The Fee Transmittal was received by the Patent Office on February 27, 2006. *See* Exhibit 4. Unfortunately, when the Fee Transmittal was processed on February 28, 2006, the Assignee's deposit account had not been updated to reflect the aforementioned replenishment payment (which had already cleared the Assignee's financial institution as of

February 21, 2006). Copies of the internal deposit account inquiry and Notice of Fee Due by the Patent Office are enclosed herewith as Exhibit 5. As indicated in Exhibit 5, the deposit account inquiry by the Office on February 28, 2006, indicated the balance of the Assignee's deposit account to be only \$112.00. Applicant respectfully notes that this balance (on February 28, 2006) failed to reflect the payment of \$37,780, as discussed above, that had cleared the Assignee's financial institution on February 21, 2006. In other words, while the balance should have been \$37,892.00 as of February 28, 2006, a clerical error in the Deposit Account Division resulted in an erroneous balance of only \$112.00 that, in turn, resulted in the present holding of abandonment for appearing to have insufficient funds in the deposit account to pay the issue and publication fees for the above-identified patent application.

Applicant would like to note that the Deposit Account Division promptly acknowledged and rectified their accounting error upon notification of the error by the Assignee on March 1, 2006. The present holding of abandonment, however, is a direct result of this accounting error. Further, neither the Examiner nor the Deposit Account Division believes that they have the requisite authority to withdraw the holding of abandonment resulting from the Office's error. Consequently, Applicant is filing the present Petition in order to advance the above-identified application to issuance. Additionally, while Applicant has provided facts and evidence believed relevant to resolve this issue, and would be happy to provide any additional facts or evidence that would be helpful and are in Applicant's or the Assignee's possession, it is respectfully submitted that the Patent Office itself, and the Deposit Account Division in particular, may have additional relevant evidence that is unavailable to Applicant or the Assignee and could be discovered through a simple accounting inquiry by the Patent Office.

In view of the facts outlined above, Applicant respectfully submits that authorization for payment of the issue and publication fees was provided on February 22, 2006, which is well within the three-month statutory period following the mailing of the Notice of Allowance on December 20, 2005. Further, Applicant respectfully submits that, but for the

Serial No. 10/675,723 Petition to Withdraw Holding of Abandonment

Page 4

clerical error committed by the Deposit Account Division, these fees would have been paid according to that authorization. Accordingly, Applicant respectfully requests withdrawal of the improper holding of abandonment with respect to the present application. If an Office representative believes that a telephonic interview will be of assistance, the representative is

invited to contact the undersigned at the telephone number listed below.

It is not believed that any fees are required for filing this Petition due to the mistake being on the part of the Patent Office. However, the Commissioner is authorized to charge any requisite fees to Deposit Account No. 01-0857; Order No. 03RE019/YOD (REEL:0044). Further, the Commissioner was also previously authorized, and remains authorized, to charge the issue and publication fees for this patent application to the same deposit account and order number.

Respectfully submitted,

Date: August 11, 2006

L. Lee Eubanks IV Reg. No. 58,785

FLETCHER YODER P.O. Box 692289

Houston, TX 77269-2289

(281) 970-4545

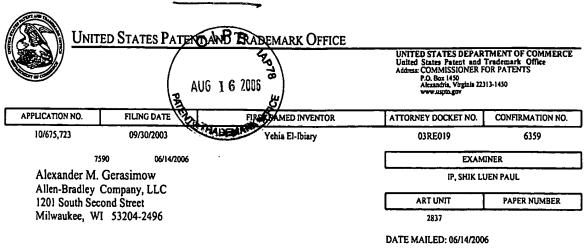
CORRESPONDENCE ADDRESS
ALLEN-BRADLEY COMPANY, LLC
Patent Department/704P Floor 8 T-29
1201 South Second Street

Milwaukee, Wisconsin 53204

Attention: Mr. Alexander Gerasimow

Phone: (414) 382-2000

Exhibit |



Please find below and/or attached an Office communication concerning this application or proceeding.

Application No. Applicant(s) 10/675,723 Notice of Abandonment **EL-IBIARY Examiner Art Unit** IP 2837 - The MAILING DATE of this communication appears on the cover sheet with the correspondence address-This application is abandoned in view of: 1. Applicant's failure to timely file a proper reply to the Office letter mailed on (a) A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on (b) A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114). (c) A reply was received on but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the nonfinal rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below). (d) No reply has been received. 2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). (a) The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated ), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85). (b) 

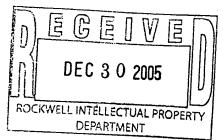
☐ The submitted fee of \$0 is insufficient. A balance of \$1700 is due. The issue fee required by 37 CFR 1.18 is \$1400. The publication fee, if required by 37 CFR 1.18(d), is \$300. (c) The issue fee and publication fee, if applicable, has not been received. 3. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37). (a) ☐ Proposed corrected drawings were received on \_\_\_\_ \_\_\_\_(with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply. (b) \( \subseteq \) No corrected drawings have been received. 4. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants. 5. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application. 6. The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims. 7. The reason(s) below: lgd Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

REEL: 0044 YOD/EUR

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

7590 12/20/2005 Alexander M. Gerasimow Allen-Bradley Company, LLC 1201 South Second Street Milwaukee, WI 53204-2496



EXAMINER IP, SHIK LUEN PAUL PAPER NUMBER ART UNIT 2837

DATE MAILED: 12/20/2005

i	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	10/675,723	09/30/2003	Yehia El-Ibiary	03RE019	6359

TITLE OF INVENTION: SYSTEM AND METHOD FOR IDENTIFYING OPERATIONAL PARAMETERS OF A MOTOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	03/20/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED. Pub Fee She

HOW TO REPLY TO THIS NOTICE:

DOCKETED

**RESPONSE DUE** 

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY is shown as YES, verify your current

SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or
- A. Pay TOTAL FEE(S) DUE shown above, or

If the SMALL ENTITY is shown as NO:

- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

REMTR-AMG

	Application No.	Applicant(s)
	10/675,723	EL-IBIARY, YEHIA
Notice of Allowability	Examiner	Art Unit
	Paul Ip	2837
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31:  1. This communication is responsive to the amendment filed	6 (OR REMAINS) CLOSED in this ap ) or other appropriate communicatio RIGHTS. This application is subject 3 and MPEP 1308.	oplication. If not included n will be mailed in due course. <b>THIS</b>
2. The allowed claim(s) is/are 1-44 and 46-67.		
<ol> <li>Acknowledgment is made of a claim for foreign priority u         <ul> <li>All</li> <li>Some*</li> <li>None</li> <li>Certified copies of the priority documents have</li> </ul> </li> <li>Certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority documents have</li> <li>International Bureau (PCT Rule 17.2(a)).</li> </ol>	e been received. e been received in Application No	
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file a reply MENT of this application.	complying with the requirements
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>	nitted. Note the attached EXAMINER es reason(s) why the oath or declara	R'S AMENDMENT or NOTICE OF ation is deficient.
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.	
(a) Including changes required by the Notice of Draftspers		-948) attached
1) 🗌 hereto or 2) 📗 to Paper No./Mail Date		
(b) including changes required by the attached Examiner Paper No./Mail Date		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on the drawi the header according to 37 CFR 1.121	ings in the front (not the back) of (d).
<ol> <li>DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT</li> </ol>	sit of BIOLOGICAL MATERIAL ( FOR THE DEPOSIT OF BIOLOGIC	must be submitted. Note the AL MATERIAL.
Attachment(s)		
1. Notice of References Cited (PTO-892)		Patent Application (PTO-152)
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	<ol> <li>Interview Summary Paper No./Mail Da</li> </ol>	
<ol> <li>Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date</li> </ol>	98), 7. Examiner's Amendr	
1. Examiner's Comment Regarding Requirement for Deposit	8. X Examiner's Stateme	ent of Reasons for Allowance
of Biological Material	9.	Paul Jp Primary Examiner TC 2800 AU 2837

#### **REASONS FOR ALLOWANCE**

Page 2

1. The following is an examiner's statement of reasons for allowance:

Applicants' amendment filed on 12/14/2005 has been carefully considered in view of the specification and the drawings of the invention with respect to the references of the record. Claims 1-44 and 47-67 are patentable distinct to recite a first module to receive electrical input data obtained at first and second load points of an electric motor, and a second module is operable to calculate a first estimate value of a first estimated variable of the electric motor based on the electrical input data obtained at the first and second load points of the electric motor, and to calculate a second estimated value of a second estimated variable of the motor based on the first estimated variable during operation of the motor without disconnecting the motor from a load. The references of the record either taken alone or in combination fail to teach or suggest the invention as recited in the claims.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### **COMMUNICATION INFORMTAION**

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul Ip whose telephone number is (571)-272-1941. The examiner can normally be reached on Monday to Friday from 6:30 am to 3:00 pm.

Application/Control Number: 10/675,723

Art Unit: 2837

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Martin can be reached on 571-272-2107. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Paul Ip

Primary Examiner

Page 3

Art Unit 2837

12/15/2005

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Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571) 273-2885 or Fax

INS	CIONSMINS form shoul	d be used for transmitting the ISSUE FEE ar mee including the Patent, advance orders and a directed otherwise in Block 1, by (a) specifying	nd PUBLICATION FEE (if required)	. Blocks 1 through 5 should	be completed where
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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

12/20/2005

Note: A certificate of mailing can only be used for domestic mailings of the Fec(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Alexander M. Go Allen-Bradley Co 1201 South Secon	mpany, LLC d Street			I hereby certify that States Postal Service addressed to the M	Certificate of M this Fee(s) Trace with sufficient lail Stop ISSUI SPTO (571) 273	nsmittal is being postage for fir FEE address	mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
Milwaukee, WI 53	1204-2490			Susan M.			(Depositor's name)
				ZIMM	M. Don	shue	(Signature)
•				February	22, 2006		(Date)
, APPLICATION NO.	FILING DATE		FIRST NAME	D INVENTOR	ATTORNEY	DOCKET NO.	CONFIRMATION NO.
10/675,723	09/30/2003		Yehia E	El-Ibiary	03R	E019	6359
APPLN. TYPE	SMALL ENTITY	ISSUE FI	E	PUBLICATION FEE	TOTAL F	EE(S) DUE	DATE DUE
nonprovisional	NO	\$1400		\$300		700	03/20/2006
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PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

RELIANCE ELECTRIC TECHNOLOGIES, LLC MAYFIELD HEIGHTS, OHIO U.S.A.

District of the same of the sa	printed on the patent): Individual Ka Corporation or other private group entity Government
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Authorized Signature Olyanchy M. Sc	Date February 22, 2006

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